

## Lecture 14

\* PSet 5 will be uploaded after the problem session.

Recall:-

Theorem:- let  $h: S^1 \rightarrow X$  be a continuous map. Then

TFAE:-

- 1)  $h$  is nullhomotopic.
- 2)  $h$  extends to a continuous map  $K: B^2 \rightarrow X$ .
- 3)  $h_*$  is the trivial hom. of fundamental groups.  
i.e.,  $h_*([f]) = [e]$  in  $\pi_1(X, x_0)$ .

1)  $\Rightarrow$  2) and 2)  $\Rightarrow$  3) done.

(3)  $\Rightarrow$  (1) let  $p: \mathbb{R} \rightarrow S^1$  be the usual covering map  
and let  $p_0: I \rightarrow S^1$  be  $p|_I$ .

Then as we discussed,  $[p_0]$  generates the cyclic group  
 $\pi_1(S^1, b_0)$  as  $\tilde{p}_0$  starts at 0 and ends at 1.

let  $x_0 = h(b_0)$ .

$\therefore h_*: \pi_1(S^1, b_0) \rightarrow \pi_1(X, x_0)$  is trivial

$\Rightarrow$  the loop  $[f] = [h \circ p_0]$  is the identity element of

$\pi_1(X, x_0)$ .

$\therefore \exists$  a path hom. in  $X$ ,  $F$  b/w  $f$  and  $e_{x_0}$ .

We note that  $p_0 \times \text{id}: I \times I \rightarrow S^1 \times I$  is a quotient map which is injective apart from

$$\left. \begin{array}{l} 0 \times t \\ 1 \times t \end{array} \right\} \rightarrow b_0 \times t \quad \forall t \in I.$$

moreover  $F(0 \times I) = F(1 \times I) = F(I \times 1) = x_0 \in X$

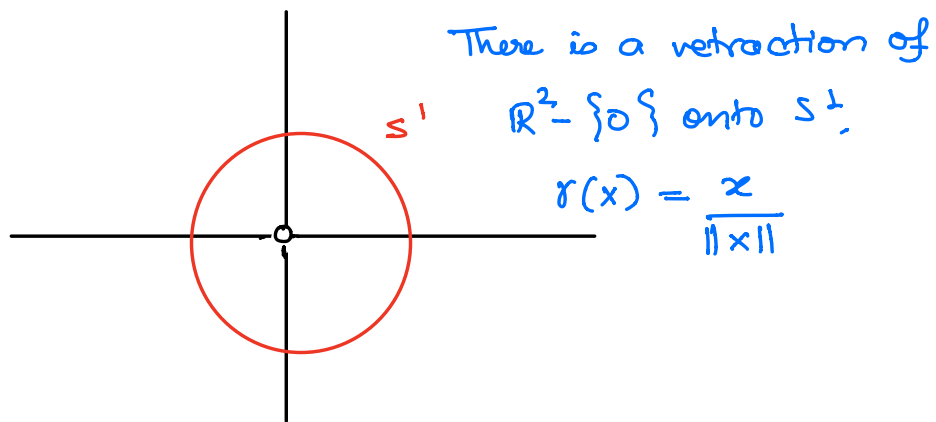
$\therefore$  from the theorem on continuous maps of quotient spaces  $\exists$  a continuous map  $H: S^1 \times I \rightarrow X$  which is a homotopy  $\forall \omega$   $h$  and a constant map  $\Rightarrow h$  is nullhomotopic.

□

$\therefore$

Corr.:- The inclusion map  $j: S^1 \rightarrow \mathbb{R}^2 - \{0\}$  is not nullhomotopic. The  $\text{id}: S^1 \rightarrow S^1$  is not nullhomotopic.

Proof:-



$\Rightarrow j_*$  is injective  $\Rightarrow j_*$  cannot be trivial

$\therefore$  by the previous thm,  $j$  is not nullhomotopic.

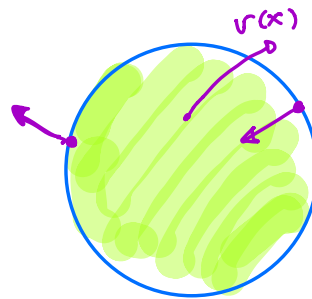
$\text{id}: S^1 \rightarrow S^1 \Rightarrow \text{id}_*: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, 0)$   
 $\neq$  trivial hom.  $\Rightarrow$  id. is also not nullhomotopic.

□

If  $B^2 \subseteq \mathbb{R}^2$ , then a **vector field** on  $B^2$  is an ordered pair  $(x, v(x))$ ,  $x \in B^2$  and  $v$  is a continuous map from  $B^2 \rightarrow \mathbb{R}^2$ .

Theorem :- Given a nonvanishing vector field on  $B^2$ ,  $\exists$  a point of  $S^1$  where the vector field points directly inward and a point of  $S^1$  where the v.f. points directly outwards.

Proof :- let  $v(x)$  be the v.f. on  $B^2$ . nonvanishing means  $v(x) \neq 0 \in \mathbb{R}^2 \forall x \in B^2$ .



$$v: B^2 \rightarrow \mathbb{R}^2 - \{0\}$$

Suppose  $v(x)$  doesn't point inward at any point  $x \in S^1$

$$v: B^2 \rightarrow \mathbb{R}^2 - \{0\}$$

$w = v|_{S^1}: S^1 \rightarrow \mathbb{R}^2 - \{0\} \Rightarrow$  from the equivalence of 1) and 2) in the previous thm,  $w: S^1 \rightarrow \mathbb{R}^2 - \{0\}$

is nullhomotopic.

If we can produce a homotopy b/w  $w$  and the inclusion map  $j: S^1 \rightarrow \mathbb{R}^2 - \{0\}$  then we'll have a contradiction.

Consider  $F(x, t) = tx + (1-t)w(x)$

$x \in S^1$ .  $F(x, 0) = w(x)$  and  $F(x, 1) = x = j(x)$

$\Rightarrow$  if  $F \neq 0$  then  $F$  is the required homotopy.

$F(x, t) \neq 0$  for  $t=0$  and  $t=1$ .

If  $F(x, t) = 0$  for some  $t$  w/  $0 < t < 1$

$$\Rightarrow tx + (1-t)w(x) = 0 \Rightarrow w(x) = \underbrace{\left(\frac{t}{t-1}\right)}_{< 0} x$$

$\Rightarrow w(x)$  points directly inward at  $x$ .

$\Rightarrow F(x, t) \neq 0 \Rightarrow$  we have a contradiction.

$\therefore \exists$  a point  $x \in S^1$  where  $v(x)$  point directly inward.  $\square$

Thm (Brouwer fixed point theorem for  $n=2$ /for the disc).

If  $f: B^2 \rightarrow B^2$  is continuous, then  $\exists$  a fixed point of  $f$  i.e.,  $\exists x \in B^2$  s.t.  $f(x) = x$ .

Proof :- We prove by contradiction, i.e., suppose  $\nexists$  any  $x \in B^2$  s.t.  $f(x) = x$ .

define  $v(x) = f(x) - x$  is a nonvanishing v.f.  
 $(x, v(x))$  on  $B^2$ . From the previous thm  $\exists x \in S^1$

s.t.  $f(x) - x = ax$  for some  $a > 0$ .

$$\Rightarrow f(x) = ax + x = (1+a)x$$

is a contradiction b/c  $f(x) = (1+a)x$  would lie outside the unit ball.

$\Rightarrow v(x) = 0$  for some  $x \in B^2$

$\Rightarrow f(x) = x.$

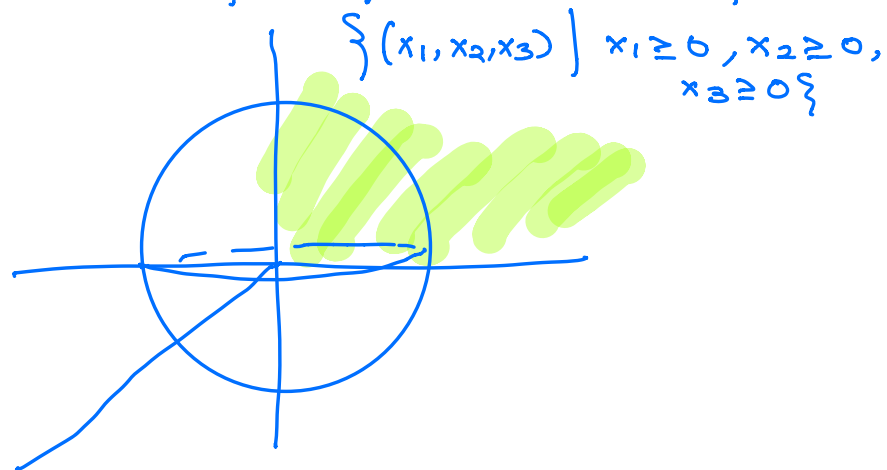
□

Corr. let  $A$  be a  $3 \times 3$  matrix of positive real numbers.

Then  $A$  has a positive real eigenvalue.

Proof. let  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be the linear map whose matrix is  $A$ .

$B =$  intersection of  $S^2$  w/ the 1<sup>st</sup> octant of  $\mathbb{R}^3$



$B$  is homeomorphic to  $B^2$ .  $\Rightarrow$  the fixed point thm

holds for cont. maps of  $B \rightarrow B$ .

$(x_1, x_2, x_3)$

If  $x \in B$  then all components are non-negative and atleast one is positive.

$\Rightarrow T(x) \in \mathbb{R}^3$  all of whose components are positive

$$\Rightarrow x \longmapsto \frac{T(x)}{\|T(x)\|} : B \rightarrow B$$

is a cont. map  $\Rightarrow \exists x_0$  s.t.  $\frac{T(x_0)}{\|T(x_0)\|} = x_0$

$$\Rightarrow T(x_0) = \underbrace{\|T(x_0)\|}_{\text{real positive eigenvalue of } T \text{ or } A} x_0 \quad \left( \begin{array}{l} Tx = \lambda x, x \text{ eigenvector} \\ \lambda \text{ eigenvalue} \end{array} \right)$$

□

Borsuk-Ulam Theorem (problem set 5)

Given a continuous map  $f: S^2 \rightarrow \mathbb{R}^2 \exists x \in S^2$  s.t.  
 $f(x) = f(-x)$ .

The fundamental theorem of Algebra

A polynomial

$$x^n + a_{n-1}x^{n-1} + a_{n-2}x^{n-2} + \dots + a_1x + a_0$$

of degree  $n$  w/ real or complex coefficients has at least one root.

Proof:  $f: S^1 \rightarrow S^1$ ,  $f(z) = z^n$ ,  $n \in \mathbb{Z}_+$

$f_*: \pi_1(S^1, b_0) \rightarrow \pi_1(S^1, b_0)$  of fundamental groups is injective.

let  $p_0: I \rightarrow S^1$  standard loop of  $S^1$

$$p_0(s) = e^{2\pi i s} = (\cos 2\pi s, \sin 2\pi s)$$

image of  $p_0(s)$  under  $f_*$

$$f(p_0(s)) = (e^{2\pi i s})^n = (\cos 2\pi n s, \sin 2\pi n s)$$

This loop lifts to the path  $s \mapsto ns$  in  $\mathbb{R}$ .

$\therefore$  viewing this map in  $\mathbb{R}$ ,

$f_*$  is just multiplication by  $n$

$\Rightarrow f_*$  is injective.

if  $g: S^1 \rightarrow \mathbb{R}^2 - \{0\}$

$g(z) = z^n$  then  $g$  is not nullhomotopic.

$$g = j \circ f$$

$$j: S^1 \rightarrow \mathbb{R}^2 - \{0\}.$$

$\therefore f_*$  is inj. and  $j_*$  is injective.  $\Rightarrow g_* = j_* \circ f_*$  is

injective  $\Rightarrow g$  is not null homotopic.

Given  $x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$

Assume  $|a_{n-1}| + \dots + |a_1| + |a_0| < 1$ .

and show that the eq<sup>n</sup> has a root lying in  $B^2$ .

Suppose not.

$R: B^2 \rightarrow \mathbb{R}^2 - \{0\}$  by

$$R(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0.$$

let  $h = R|_{S^1}: S^1 \rightarrow \mathbb{R}^2 - \{0\}$

$\Rightarrow h$  is nullhomotopic (from the previous thm.)

We'll get a contradiction by producing a homotopy b/w  $h$  and  $g$ .

define  $F: S^1 \times I \rightarrow \mathbb{R}^2 - \{0\}$

$$F(z, t) = z^n + t(a_{n-1}z^{n-1} + \dots + a_1z + a_0)$$

$$F(z, 0) = z^n = g$$

$$F(z, 1) = h$$

Claim:  $F(z, t) \neq 0$ .

$$|F(z, t)| \geq |z^n| - |t(a_{n-1}z^{n-1} + \dots + a_1z + a_0)|$$



$$\begin{aligned} &\geq 1 - t(|a_{n-1}|z^{n-1} + \dots + a_1z + a_0) \\ &\geq 1 - t(|a_{n-1}| + |a_{n-2}| + \dots + |a_0|) \\ &> 0 \end{aligned}$$

$\therefore F \neq 0. \Rightarrow F$  is the required homotopy  
 $\Rightarrow$  we get a contradiction.

$\therefore \exists$  a root to  $z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0.$

for the general case,

choose  $c \in \mathbb{R}, c > 0$  large enough w/  $z = cy$

$$(cy)^n + a_{n-1}(cy)^{n-1} + \dots + a_1(cy) + a_0 = 0$$

$$\Downarrow$$

$$cy^n + \frac{a_{n-1}}{c}y^{n-1} + \dots + \frac{a_1}{c^{n-1}}y + \frac{a_0}{c^n} = 0$$

□

