

**Problem Set 1**  
**Due date: 08.05.2025**

**Problems**

- (1) Let  $M^n$  be a closed manifold.

- (a) Prove the **Bochner formula** for  $|\nabla f|^2$ , i.e., for  $f \in C^\infty(M)$ , prove that

$$\Delta|\nabla f|^2 = 2|\nabla\nabla f|^2 + 2R_{ij}\nabla^i f \nabla^j f + 2\nabla_i f \nabla^i(\Delta f). \quad (0.1)$$

Conclude from this that if  $\text{Ric} \geq 0$ ,  $\Delta f = 0$  and  $|\nabla f| = \text{constant}$  then  $\nabla f$  is parallel.

- (b) Prove the following integral equality:

$$\int_M |\nabla\nabla f|^2 \text{vol} + \int_M \text{Ric}(\nabla f, \nabla f) \text{vol} = \int_M (\Delta f)^2 \text{vol} \quad (0.2)$$

and using the fact that<sup>1</sup>,  $|\nabla\nabla f|^2 \geq \frac{1}{n}(\Delta f)^2$ , show that

$$\int_M \text{Ric}(\nabla f, \nabla f) \text{vol} \leq \frac{n-1}{n} \int_M (\Delta f)^2 \text{vol}. \quad (0.4)$$

(**Hint:** Integration by parts!)

- (c) Use the above to prove the following theorem due to **Lichnerowicz**. Suppose  $f$  is an eigenfunction of  $\Delta$  with eigenvalue  $\lambda > 0$ , i.e.,  $\Delta f + \lambda f = 0$ . If  $\text{Ric} \geq (n-1)K$  for some constant  $K > 0$  then  $\lambda \geq nK$ .
- (2) (a) The purpose of this problem is to show that in dimension 3, the Ricci curvature determines the Riemann curvature tensor.

Let  $(M^3, g)$  be a 3-dimensional Riemannian manifold and let us diagonalize the curvature operator (as a self-adjoint operator on 2-forms)  $Rm$  with respect to a basis  $\{e_2 \wedge e_3, e_3 \wedge e_1, e_1 \wedge e_2\}$  of  $\Lambda^2 T^*M$ , where  $\{e_1, e_2, e_3\}$  is an orthonormal basis of  $TM^3$  (this is possible because  $Rm$  is self-adjoint). Suppose that, with respect to this basis,  $Rm$  is a diagonal matrix with entries  $\lambda_1, \lambda_2, \lambda_3$  down the diagonal. Then with respect to the basis  $\{e_1, e_2, e_3\}$ , prove that the Ricci tensor takes the form

$$\text{Ric} = \frac{1}{2} \begin{bmatrix} \lambda_2 + \lambda_3 & 0 & 0 \\ 0 & \lambda_3 + \lambda_1 & 0 \\ 0 & 0 & \lambda_1 + \lambda_2 \end{bmatrix} \quad (0.5)$$

and the scalar curvature  $R = \lambda_1 + \lambda_2 + \lambda_3$ . (**Hint:** Use the geometric interpretation of the Ricci and scalar curvatures.)

- (b) Prove that an Einstein metric on a manifold of dimension  $n \geq 3$  has constant scalar curvature. If  $n = 3$ , the metric has constant sectional curvature.
- (3) Instead of the Ricci flow, one can also look at the volume normalized version of the Ricci flow called the **normalized Ricci flow** which is the the following evolution equation for a family of metrics  $g(t)$  on  $M^n$ :

$$\frac{\partial g(t)}{\partial t} = -2 \text{Ric}(g(t)) + \frac{2}{n} \frac{(\int_M R \text{vol})}{(\int_M \text{vol})} g(t) \quad (\text{NRF})$$

where  $R$  is the scalar curvature. The advantage of (NRF) is that the volume of the evolving manifolds remains constant along (NRF). Prove that:

- (a) The volume of the manifold remains constant along the NRF.
- (b) A compact manifold  $(M^n, g)$  is a fixed point of (NRF) if and only if it is an Einstein manifold.
- (c) Show that the unnormalized and normalized Ricci flows differ only by a rescaling of space and time.

<sup>1</sup>This is the usual Cauchy-Schwarz inequality. More generally, if  $S$  is any  $(2,0)$ -tensor then

$$|S_{ij}|_g^2 \geq \frac{1}{n} (g^{ij} S_{ij})^2 \quad (0.3)$$