

## Summary

$$u: M^n \times [0, T) \rightarrow \mathbb{R}$$

$$\frac{\partial u}{\partial t} \geq \Delta_{g(t)} u + \langle x, \nabla u \rangle$$

$g(t)$  is a family of metrics,  $X(t)$  is a family of v.f.o.

If  $u(x, 0) \geq C \quad \forall x \in M$  then  
 $u(x, t) \geq C \quad \forall x \in M, t \in [0, T).$

- $$\frac{\partial u}{\partial t} \geq \Delta_{g(t)} u + \langle x, \nabla u \rangle + \beta u$$
$$\beta: M \times [0, T) \rightarrow \mathbb{R}$$

If  $\forall \tau \in [0, T) \exists C_\tau < \infty$  s.t.  $\beta(x, t) \leq C_\tau$   
 $\forall x \in M$  and  $t \in [0, \tau]$ .

If  $u(x, 0) \geq C \quad \forall x \in M$  then  $u(x, t) \geq C$   
 $\forall x \in M, t \in [0, T).$

- $\frac{\partial u}{\partial t} \geq \Delta u + \langle x, \nabla u \rangle + F(u)$

$F: \mathbb{R} \rightarrow \mathbb{R}$ , locally Lipschitz.

Suppose  $\exists C$  s.t.  $u(x,0) \geq C \quad \forall x \in M$ .

Let  $\varphi$  be a solution to the ODE

$$\begin{aligned} \frac{d\varphi}{dt} &= F(\varphi) \\ \varphi(0) &= C \end{aligned}$$

Then  $u(x,t) \geq \varphi(t) \quad \forall x \in M, t \in [0, \infty)$ .

### Maximum principle for tensors

$\mathbb{R}$ -scalar functions

$|Ric|^2, |Rm|^2$

- max. principle for symmetric 2-tensors  
"Hamilton '82 - 3-manifolds ..."
- max. principle for an arbitrary section of a vector bundle - Hamilton '86  
"Four manifolds w/ positive curvature operator".

$$A \geq 0 \quad (A > 0)$$

Theorem :- let  $M^n$  be a closed manifold w/ a family of metrics  $g(t)$ . let  $\alpha(t)$  be a family of symmetric 2-tensors on  $M$ .

$$\frac{\partial \alpha}{\partial t} \geq \Delta_{g(t)} \alpha + \beta$$

where  $\beta(\alpha, g, t)$  is a symmetric 2-tensor which is locally Lipschitz in all of its arguments and it satisfies the **null eigenvector**

**assumption** :- If  $V(x,t)$  is a null eigenvector of  $\alpha$ , i.e.,  $(\alpha_{ij} V^i)(x,t) = 0$

$$\text{then } \beta(V, V)(x,t) = \left( \beta_{ij} V^i V^j \right)(x,t) \geq 0.$$

$$\text{If } \alpha(0) \geq 0$$

$$\alpha_{ij} V^i V^j = 0$$

then  $\alpha(t) \geq 0$  s.t.  $\alpha(t)$  exists.

Proof :-

Sketch :-  $\alpha > 0$   $\forall$   $0 \leq t < t_0$   
but at  $(x_0, t_0) \exists v \in T_{x_0} M^n$  s.t.

$$(\alpha_{ij} v^i v^j)(x_0, t_0) = 0.$$

Then  $(\alpha_{ij} w^i w^j)(x, t) \geq 0$   $\forall x \in M$   
and  $t \in [0, t_0]$ . and  $w \in T_x M^n$ .

$$\begin{aligned} \frac{\partial}{\partial t} (\alpha_{ij} v^i w^j) &= \left( \frac{\partial}{\partial t} \alpha_{ij} \right) v^i w^j \\ &\quad + \alpha_{ij} \left( \frac{\partial}{\partial t} v^i \right) w^j \\ &\quad + \alpha_{ij} v^i \left( \frac{\partial}{\partial t} w^j \right) \end{aligned}$$

$$\Delta (\alpha_{ij} v^i w^j) = \text{3 terms}$$

Extend the vector  $v$  in a space-time  
nbd of  $(x_0, t_0)$  s.t.  $V(x_0, t_0) = v$  and

$$\begin{cases} \frac{\partial V}{\partial t}(x_0, t_0) = 0 \\ \nabla V(x_0, t_0) = 0 \\ \Delta V(x_0, t_0) = 0 \end{cases} \longrightarrow \textcircled{*}$$

To get the last two points in  $(*)$  we  $\uparrow$  parallel translate  $v$  in space along the geodesic starting from  $x_0$  in  $M$  and take  $V$  independent of time.

$$\Delta V(x_0, t_0) = \sum_{i=1}^n [\nabla_{e_i}(\nabla_{e_i} v) - \nabla_{\nabla_{e_i} e_i} v]$$

$\{e_i\}$  o.n.b of  $T_{x_0} M$  ( $x_0, t_0$ )

$$= \sum [\nabla_{e_i} 0 - \nabla_0 v] = 0.$$

you start w/ the  $v$  which is the null eigenvector and parallel translate it and take independent of time to get  $(*)$ .

Then in any space-time nbd  $(x_0, t_0)$

$$\begin{aligned} \frac{\partial}{\partial t} (\alpha_{ij} v^i v^j) &= \left( \frac{\partial}{\partial t} \alpha_{ij} \right) v^i v^j \\ &\geq (\Delta \alpha_{ij} + \beta_{ij}) v^i v^j \end{aligned}$$

$$(\alpha_{ij} v^i v^j)(x_0, t_0) = 0$$

$$(\alpha_{ij} v^i v^j)(x, t) \geq 0 \quad \forall x \text{ in a nbd of } x_0.$$

$$\Rightarrow \Delta(\alpha_{ij} v^i v^j) \geq 0$$

$$\Rightarrow (\Delta \alpha_{ij}) v^i v^j \geq 0$$

$$\beta_{ij} v^i v^j \Big|_{(x_0, t_0)} \geq 0 \quad (\text{null-eigenvector assumption})$$

$$\circ \circ \quad \frac{\partial}{\partial t} (\alpha_{ij} v^i v^j) \geq \Delta(\alpha_{ij} v^i v^j) + \beta_{ij} v^i v^j$$

$$(\partial_t \alpha_{ij}) v^i v^j \geq 0$$

at  $(x_0, t_0)$ .

$\circ \circ$  if  $\alpha_{ij} v^i v^j$  ever becomes zero then it cannot decrease further.

$$\Rightarrow \alpha(t) \geq 0 \quad \forall x \in M, t \in [0, T).$$

□

### Proof of the theorem

Let  $\tau \in (0, T)$ . we'll show  $\exists \delta \in (0, \tau]$

s.t.  $\forall t_0 \in [0, \tau - \delta]$ , if  $\alpha \geq 0$  at  $t_0$

then  $\alpha \geq 0$  on  $M \times [t_0, t_0 + \delta]$ .

fix any  $t_0 \in [0, T - \delta]$ . For any  $0 < \epsilon \leq 1$

$$A_\epsilon(x, t) = \alpha(x, t) + \epsilon [\delta + (t - t_0)] \cdot g(x, t)$$

$x \in M$  and  $t \in [t_0, t_0 + \delta]$ .

$$A_\epsilon(x, t_0) = \alpha(x, t_0) + \epsilon [\delta] g(x, t_0) > 0$$

and the term  $\epsilon(t - t_0)g$  will make

$$\frac{\partial A_\epsilon}{\partial t} > \frac{\partial \alpha}{\partial t} \text{ for } t \in [t_0, t_0 + \delta]$$

if we choose  $\delta$  sufficiently small.

$$\frac{\partial A_\epsilon}{\partial t} = \frac{\partial \alpha}{\partial t} + \epsilon g + \epsilon [\delta + (t - t_0)] \frac{\partial g}{\partial t}$$

$$\therefore \Delta A_\epsilon = \Delta \alpha$$

$$\Rightarrow \frac{\partial A_\epsilon}{\partial t} \geq \Delta A_\epsilon + \beta + \epsilon g + \epsilon [\delta + (t - t_0)] \frac{\partial g}{\partial t}$$

$\Rightarrow$

$$\frac{\partial A_\epsilon}{\partial t} \geq \Delta A_\epsilon + \beta(A_\epsilon, g, t) + [\beta(\alpha, g, t) - \beta(A_\epsilon, g, t)] \\ + \epsilon g + \epsilon [\delta + (t - t_0)] \frac{\partial g}{\partial t}.$$

$\longrightarrow$  (1)

We first choose  $\delta_0 > 0$  depending on  $g(t)$ ,  $t \in [0, \tau]$  to be small enough so that on  $M \times [t_0, t_0 + \delta_0]$ ,

$$\frac{\partial g}{\partial t} \geq -\frac{1}{4\delta_0} g$$

$\Rightarrow$

$$\epsilon g + \epsilon [\delta_0 + (t - t_0)] \frac{\partial g}{\partial t} \geq \frac{\epsilon}{2} g$$

on  $M \times [t_0, t_0 + \delta_0]$

Now,  $\beta$  is locally Lipschitz  $\Rightarrow \exists K$  depends on  $\alpha, g, t$  but not on  $\epsilon$



$$\begin{aligned} \beta(\alpha, g|_t) - \beta(A_\epsilon, g|_t) &\geq -K\epsilon[\delta_0 + (t-t_0)g] \\ &\geq -2K\epsilon\delta_0 g. \end{aligned}$$

on  $M \times [t_0, t_0 + \delta_0]$ .

We choose  $\delta \in (0, \delta_0)$  small enough so that

$$\delta < \frac{1}{4K}$$

$$\Rightarrow \beta(\alpha, g|_t) - \beta(A_\epsilon, g|_t) > -\frac{1}{2}\epsilon g$$

∴ eq<sup>n</sup> ① with all the estimates give

$$\frac{\partial A_\epsilon}{\partial t} > \Delta A_\epsilon + \beta(A_\epsilon, g|_t). \text{ on } M \times [t_0, t_0 + \delta]$$

Enough to prove that  $A_\epsilon > 0$  on  $M \times [t_0, t_0 + \delta]$

Assume not, i.e.,  $\exists (x_1, t_1) \in M \times (t_0, t_0 + \delta]$

$U \in \mathcal{P}_{x_1} M^n$  s.t.  $A_\epsilon > 0$  for all time  $t_0 \leq t < t_1$

but  $(A_\epsilon)_{ij} v^i v^j (x_1, t_1) = 0$ .

We extend this v.f.  $v$  to  $\bar{U}$  as per  $\textcircled{*}$

$$0 \geq \frac{\partial}{\partial t} \left( (A_\epsilon)_{ij} v^i v^j \right) = \left( \frac{\partial}{\partial t} A_\epsilon \right)_{ij} v^i v^j$$

$$> \left( \Delta (A_\epsilon v^i v^j) \right) + \beta_{ij} v^i v^j \geq 0$$

contraction.

$$\Rightarrow A_\epsilon > 0 \text{ on } M \times [t_0, t_0 + \delta]$$

$\therefore \delta > 0$  depends only on  $\left| \frac{\partial}{\partial t} g \right|$  and

$K$  and not on  $\epsilon$ , we can let  $\epsilon \searrow 0$   
(Lipschitz of  $\beta$ )

$$\Rightarrow \alpha_{ij} \geq 0 \text{ on } M \times [t_0, t_0 + \delta].$$

$\square$

Topic for presentation :- Statement and proof of the v.b. version of the maximum principle.